

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78179

Shigeo KOMATSU, et al.

Appln. No.: 10/712,530

Group Art Unit: 1745

Confirmation No.: 8673

Examiner: Not Yet Assigned

Filed: November 17, 2003

For:

NONAQUEOUS SECONDARY ELECTROLYTIC BATTERY

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/712,530

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants enclose herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: July 16, 2004

Ellen R. Smith

Registration No. 43,042

Attorney Docket No.: Q78178

2

	Substitute	for Fo
OTP.	E TESS	II TZ
JUL 1	6 2004 · E	
٠.5	.05	Sheet
A TEN	DE	

## INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

Form 1449 A & B/PTO

(use as many sheets as necessary)

	lete if Known					
Application Number		10/712,530				
	Confirmation Number	8673				
	Filing Date	November 17, 2003				
	First Named Inventor	Shigeo KOMATSU				
	Art Unit	1745				
	Examiner Name	Not Yet Assigned				
	Attorney Docket Number	Q78179				

			U.S.	PATENT DOCU	MENTS
Examiner	Cite No.1	Document 1	Number	Publication Date MM-DD-YYYY	
Initials*		Number	Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document
		US 4,092,464		05-30-1978	DEY et al.
		US			

			F	OREIGN PA	TENT DOCUM	ENTS	
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	T
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		wo	86-03060	A	05-22-1986	Matsushita Electric Ind Co Ltd	Abstract
		EP	0 862 230	A2	09-02-1998	Mitsubishi Denki KK	
		EP	0 862 227	A1	09-02-1998	Sony Corporation	
		EP	0 983 145	A2	08-25-1999	Sony Corporation	
			<u></u>				
			L				

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	
		Patent Abstracts of Japan: vol. 1997, no. 11, November 18, 1997: JP 9-199099A, (Japan Storage Battery Co., Ltd.), July 31, 1997.		

ı	· · · · · · · · · · · · · · · · · · ·		
	<b>.</b>		1
Examiner Signature		Date Considered	
Examiner Digitature 1	1	Date Commerce	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.